

Dear Client,

Thank you for your interest in opening a Stock Brokerage Account with Mega Equity Securities & Financial Services Public Ltd.

Please see below supporting notes and guidance for the completion of the forms and the documents required as per the MIFID and AML guidelines. If you wish you can send us the required documents first in scan and then in original format. All requested documents must be provided in order to open an account. Failure to provide sufficient information may lead to a delay in processing your account opening application.

**Physical Persons**

<b>Forms</b>	<b>Should be in original format</b>
MIFID Account opening form – Natural Person	Complete, initial on all pages and sign on page 2 – email address is mandatory
Self-Certification form	All fields are mandatory and must be completed. In addition fill in form W- 8 or W-9 (whatever is appropriate for you). They can be downloaded from the following website links: W-8 BEN: <a href="http://www.irs.gov/pub/irs-pdf/fw8ben.pdf">http://www.irs.gov/pub/irs-pdf/fw8ben.pdf</a> W-9: <a href="http://www.irs.gov/pub/irs-pdf/fw9.pdf">http://www.irs.gov/pub/irs-pdf/fw9.pdf</a>
Agreement for the Provision of Investment and Ancillary Services to Natural Persons	Complete, initial all pages and sign on page 22 and 24
Electronic Services Addendum	<i>(Optional)</i> Initial all pages and sign on page 32
Credits and Loans Addendum	<i>(Optional)</i> – complete, initial and sign on page 36
Authorization and letter of indemnity for acting upon telecommunications	Initial on all pages and sign on page 38
Mifid Client Categorization	Complete and sign on page 39
Mifid Financial status – Retail investor	Complete, initial and sign on page 41
Investments Questionnaire	Complete, initial on all pages and sign on page 45
Data Subject Consent form	Complete, initial on all pages and sign on page 46
Power of Attorney	<i>(Optional)</i> - initial on page 47 page and sign on page 48 – the signature should be certified by certifying officer in Cyprus or notary public or other competent authority abroad
Application for the creation of a Share Account, a Securities Account and Authorisation of Use	<i>(Optional)</i> to open account with the Cyprus Stock Exchange – complete, initial on page 49 and sign on page 50
Application for the creation of Investor Account, Securities Account and Usage Authorisation	<i>(Optional)</i> to open account with the Athens Stock Exchange – complete and sign on page 51
<b>Documents</b>	
	<ul style="list-style-type: none"> <li>- Certified true copy (by accountant/lawyer/certifying officer) of passport (foreigners or local residents) or ID (local residents only)</li> <li>- Recent utility bill within 3 months (no P.O. Box address is accepted)</li> </ul>

