



MiFID FINANCIAL STATUS FORM – RETAIL INVESTOR

For the purpose of offering good service, protection and promotion of your interests, it is important that you provide Mega Equity Securities & Financial Services Public Ltd, Reg. no. HE107314, under licence by Cysec, EPEY 011/03, dated 12/05/2003, Please take all steps to complete, as clearly and precisely as possible, this form. The form aims to provide Mega Equity Securities & Financial Services Public Ltd, the required information in accordance with the provisions of the Investment Services and Activities and Regulated Markets Law of 2007 (Law 144(I)/2007) and CySEC directive DI144-2007-02 having classified you as a Retail Investor.

INCOME AND EXPENDITURE (ALL FIGURES TO BE GIVEN IN € EURO)				
Monthly Income		Monthly Payments		
Applicants Net Income		Rent/Housing Loan payment		
Spouse's Net Income (if applicable)		Other Loan Payments		
Other Regular Income (specify below)		Life Insurances		
1.		Family Budget		
2.		Other Regular Expenditure (specify below)		
3.		1.		
4.		2.		
TOTAL (A)		TOTAL (B)		
Regular Yearly Income (specify below)		Regular Yearly Expenditure (specify below)		
1.		1. Insurances (Car, building etc)		
2.		2.		
3.		3.		
TOTAL (C)		TOTAL (D)		
MONTHLY AVAILABLE INCOME (A-B) _____ (E)		YEARLY AVAILABLE INCOME (12xE + C – D) _____		
DETAILS OF REAL ESTATE PROPERTY				
Area / Country	Description of Property	% Owned	Current Value	Amount Mortgaged
TOTAL PROPERTY VALUE _____, TOTAL MORTGAGED AMOUNT _____, TOTAL NET VALUE _____				
MOVABLE PROPERTY				
Brief Description (e.g. cars)	Total Estimated Value	Amount Charged	Total Net Value	
INVESTMENTS				
Asset Description	Own / Joint	Purchase Value	Current Value	Amount Pledged
Shares (traded/listed)				
Mutual Funds				

Capital Guarantee Products				
Bonds				
Other Investments				

TOTAL INVESTMENTS VALUE _____, TOTAL AMOUNT PLEDGED _____, TOTAL NET VALUE _____

LIFE INSURANCES

Insurance Type	Insurance Amount	Total yearly premium	Expiration Date	Surrender Value	Pledged (Y/N)

TOTAL INSURED AMOUNT: _____, SURRENDER VALUE: _____, PLEDGED AMT: _____, NET VALUE: _____

CASH DEPOSITS WITH BANKS, FINANCIAL INSTITUTIONS ETC

Total Amount of Own Cash		Amount Pledged		Available Cash	
Total Amount of Joint Cash		Amount Pledged		Available Cash	

LIABILITIES TOWARDS BANKS/FINANCIAL INSTITUTIONS/THIRD PARTIES

Type of Account	Borrower (Own/Joint)	Monthly Installment	Limit/Initial Amount	Balance

PERSONAL GUARANTEES

Have you ever signed personal guarantees for the benefit of a third party? (if yes, complete below): YES NO

Personal Guarantee for:		Amount of Guarantee:		Expiration Date:	
Personal Guarantee for:		Amount of Guarantee:		Expiration Date:	

OTHER INFORMATION

Has a court order for bankruptcy or any other order been ever issued or is one still pending against you with regards to your financial duties? (if yes, attach details on a separate sheet) YES NO

Is there any other material information relating to your financial status or personal circumstances you would like to state? (If yes use space below) YES NO

CUSTOMER DECLARATION

I HEREBY STATE THAT THE ABOVE INFORMATION IS TRUE AND ACCURATE KAI UNDERTAKE TO PROVIDE SUPPORTING ORIGINAL DOCUMENTATION TO VERIFY THE ABOVE. I ALSO UNDERTAKE TO INFORM MEGA EQUITY SECURITIES & FINANCIAL SERVICES PUBLIC LTD IMMEDIATELY OF ANY CHANGES.

Name and I.D. / Passport Number _____ Signature _____ Date _____

1. Name: _____
I.D. / Passport Number: _____

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RECEIVED BY:	_____	_____	DATE : ___/___/___
	NAME	SIGNATURE	
CHECKED BY:	_____	_____	DATE : ___/___/___
	NAME	SIGNATURE	
COMMENTS :	_____		